

UCC III & UCC III Addendum

- **Items that are not required or may not be recorded:** Item 1b.
- **Items that are required:** Items 1a and 9a or 9b must be filled out completely and accurately *for all actions* made on the UCC Financing Statement Amendment form. Items 11 and 12 must be filled out completely and accurately on the UCC Financing Statement Amendment Addendum form. Failure to do so may result in the rejection of the filing.
- **Actions that can be made on form:** All actions listed on this form can be made but only *two* actions may be made per form – separate forms must be used to modify multiple parties
- **Enter only one individual/organization per item:** There should be only one party entered in items 6,7,9 & 12. Filings with both individual and organizational information in an item may be subject to rejection.
- **Amendments:** The appropriate boxes within item 5 must be checked. Failure to check either the debtor or secured party box and the action to be taken on said party or checking both parties and/or multiple actions might result in the rejection of the filing.
- **Amending existing debtor information:** In addition to the required items, item 6 must contain the debtor name on record that is to be amended. Item 7 must contain the new debtor information including name, mailing address, city, state or country and postal code. If the debtor is an organization, items 7e through 7g *must* be entered completely. Failure to do so may result in the rejection of the filing.
- **Amending existing secured party information:** The same as the instructions listed above however items 7e through 7g need not be entered.
- **Amending collateral:** Item 8 must have an action checked as well as the collateral enter that is affected by the action taken. Failure to do so may result in the rejection of the filing. Collateral Amendments have the same limitations for recording as the UCC 1 (250 characters with spaces per page).
- **UCC III Addendum:** A separate addendum must be filled out for each party being amended (item 13). A collateral description may be entered in item 13 if the UCC III filed was amending collateral. Collateral will be recorded exactly as entered on the form up to the total capacity of the Division's computer system's collateral field.

UCC 5 Correction Statement

- **The UCC 5 Correction Statement:** Should never be used to make corrections to an existing filing. It should be used only for notifying the state filing office of an erroneous filing

Mail In: PO Box 146705
Salt Lake City, UT 84114-6705
Walk In: 160 East 300 South, Main Floor
Information Center: (801) 530-4849
Toll Free: (877) 526-3994 (within Utah)
Fax: (801) 530-6438
Web Site: <http://www.commerce.utah.gov>